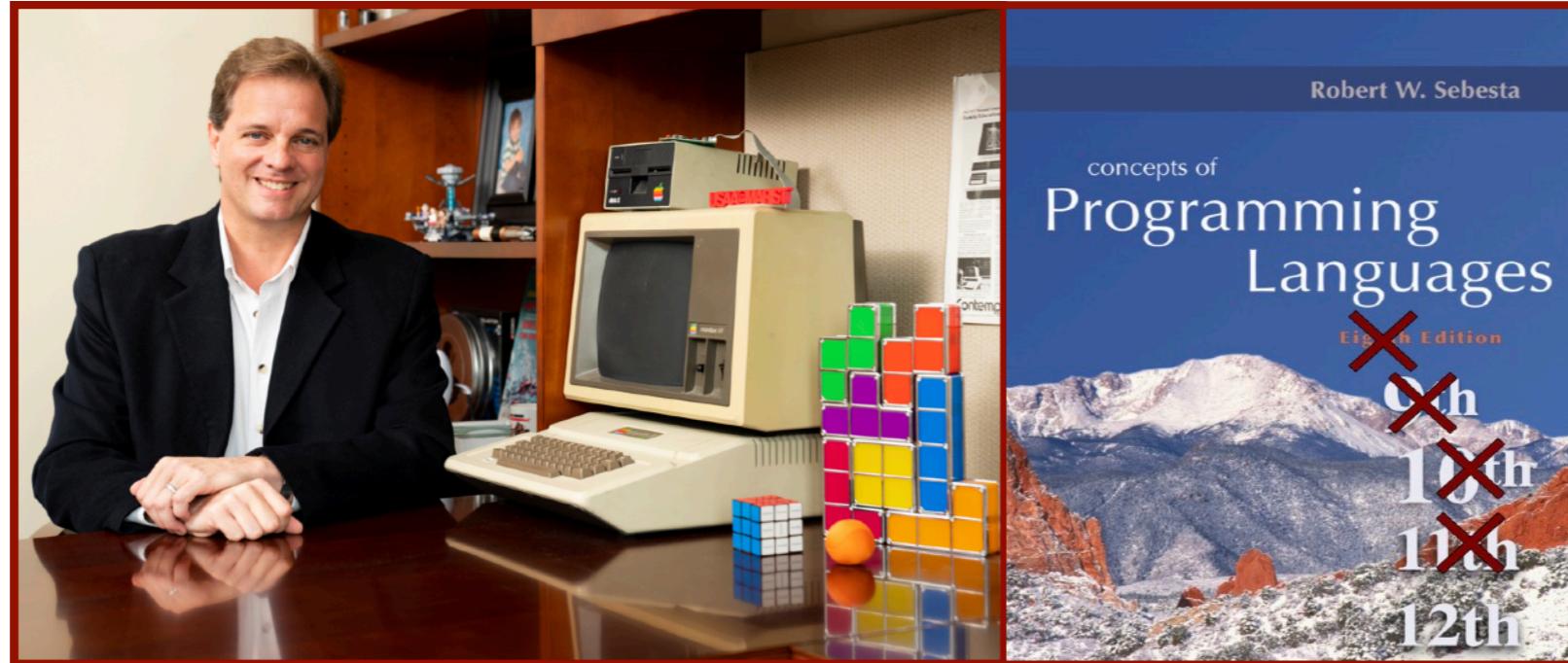


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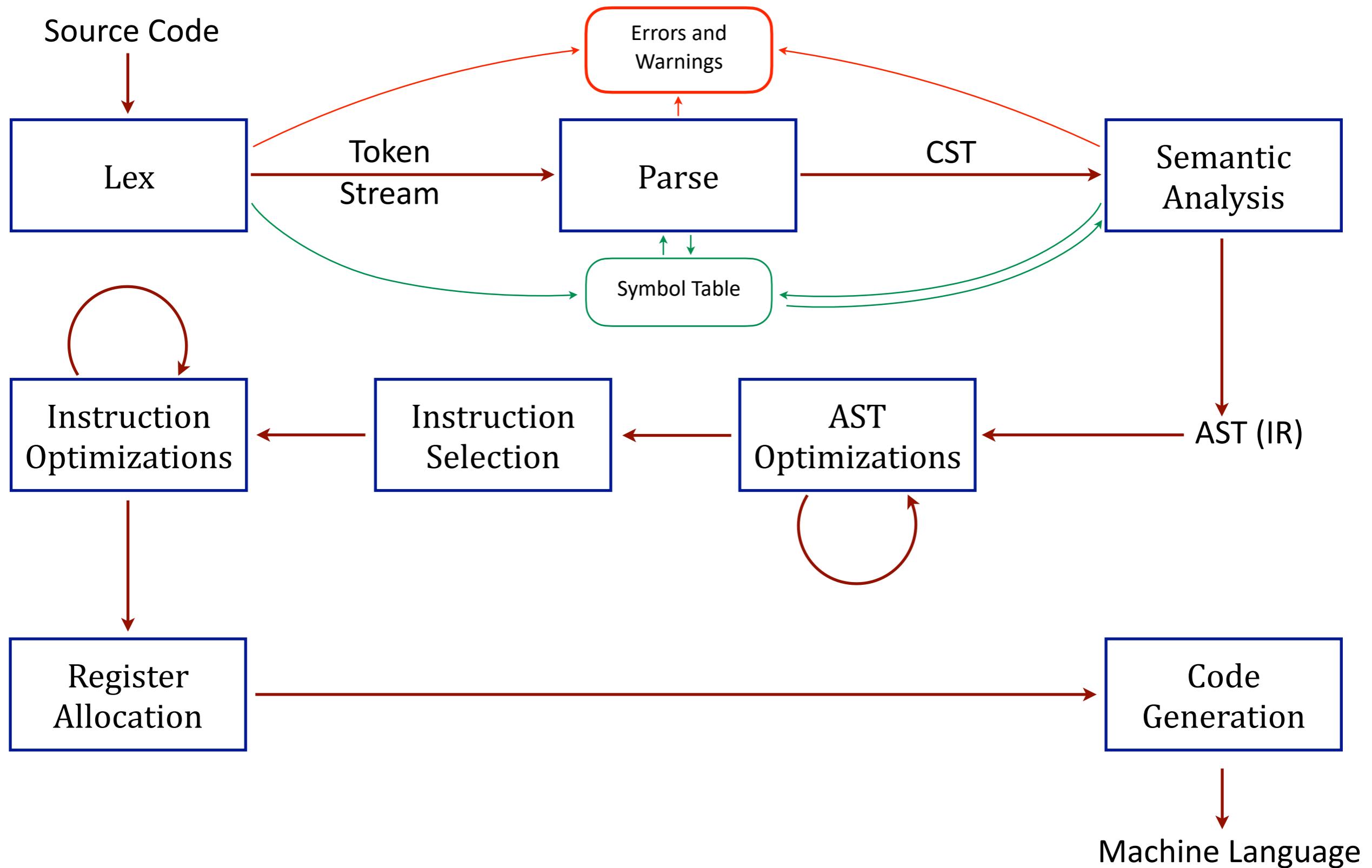
# Lex and Parse

---

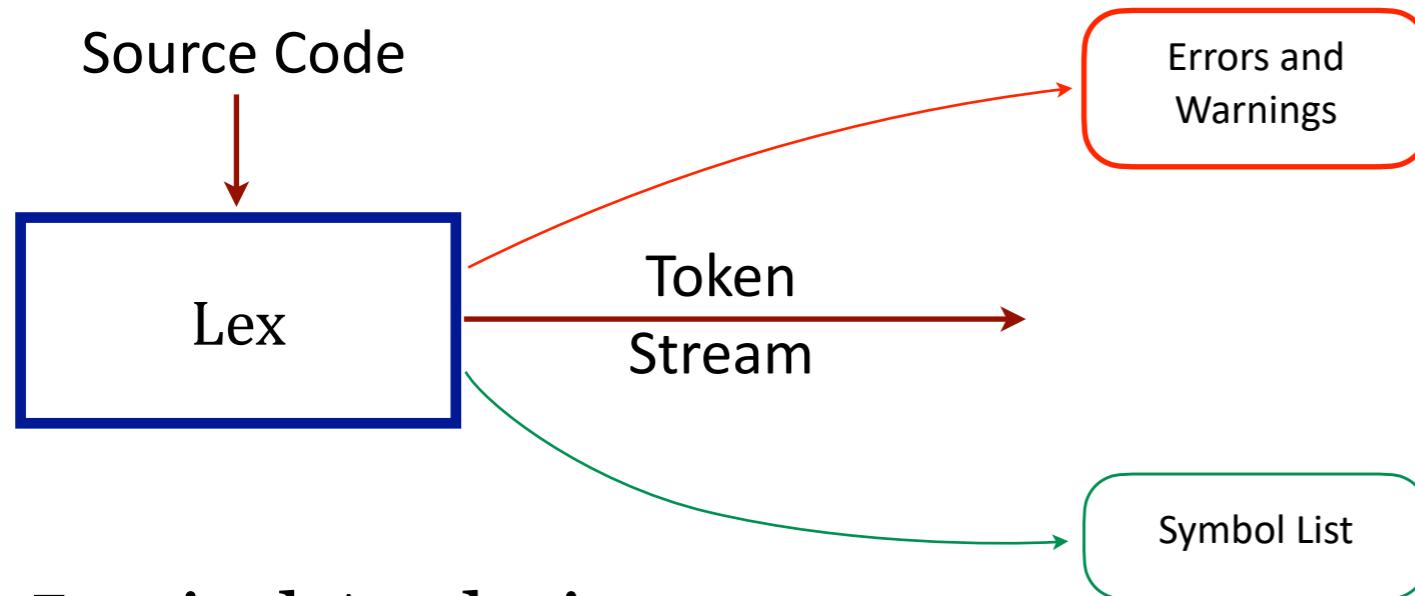


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# Compiler Phases



# Lexical Analysis



## Lexical Analysis

- Maps characters into an ordered stream of tokens  
 $x := x + y$   
becomes  
 $\langle id, x \rangle \langle assign \rangle \langle id, x \rangle \langle add \rangle \langle id, y \rangle$
- Typical tokens: id int while print if
- Eliminates white space and comments
- Reports meaningful errors and warnings
- Produces a token stream
- Focus on words/lexemes/tokens

# Lexical Analysis

---

Only concerned with the words/syntax.

Not concerned with structure or meaning (sentences, type, scope).

Uses white space to help determine boundaries, then discards it.

Keeps track of line number for every token.

Builds the initial symbol list.

Definitions:

- A **token** is a sequence of characters that we'll treat as a unit in the grammar of our language.
- A **pattern** is a description of the form legal tokens can take.
- A **lexeme** is the sequence of characters in the source code that match a pattern for a token in the language.

# Choosing Good Tokens

---

- Dependent on language.
- Varies by language.
- Typically . . .
  - keywords (different from identifiers)
  - identifiers (different from keywords)
  - punctuation symbols
  - digits
  - individual characters
- get their own tokens.
- Discard white space and comments, but only after making use of them if possible.

# Choosing Good Tokens

---

Why is this important? What if we didn't choose good tokens?

- PL/1 had no reserved words

if then then then = else; else else = then;

... so key words could be used as identifiers (variable and other names). Turns out that wasn't great.

# Choosing Good Tokens

---

Why is this important? What if we didn't choose good tokens?

- PL/1 had no reserved words

```
if then then then = else; else else = then;
```

- FORTRAN and Algol 68 ignored spaces, even for token identification

```
do 10 i = 1,25
```

```
do 10 i = 1.25
```

# Choosing Good Tokens

---

Why is this important? What if we didn't choose good tokens?

- PL/1 had no reserved words

if then then then = else; else else = then;

- FORTRAN and Algol 68 ignored spaces, even for token identification

do 10 i = 1, 25      Loop from 1 to 25. (10 is a label.)  
do 10 i = 1.25      Assignment. (do10i is a variable.)



# Regular Expressions

---

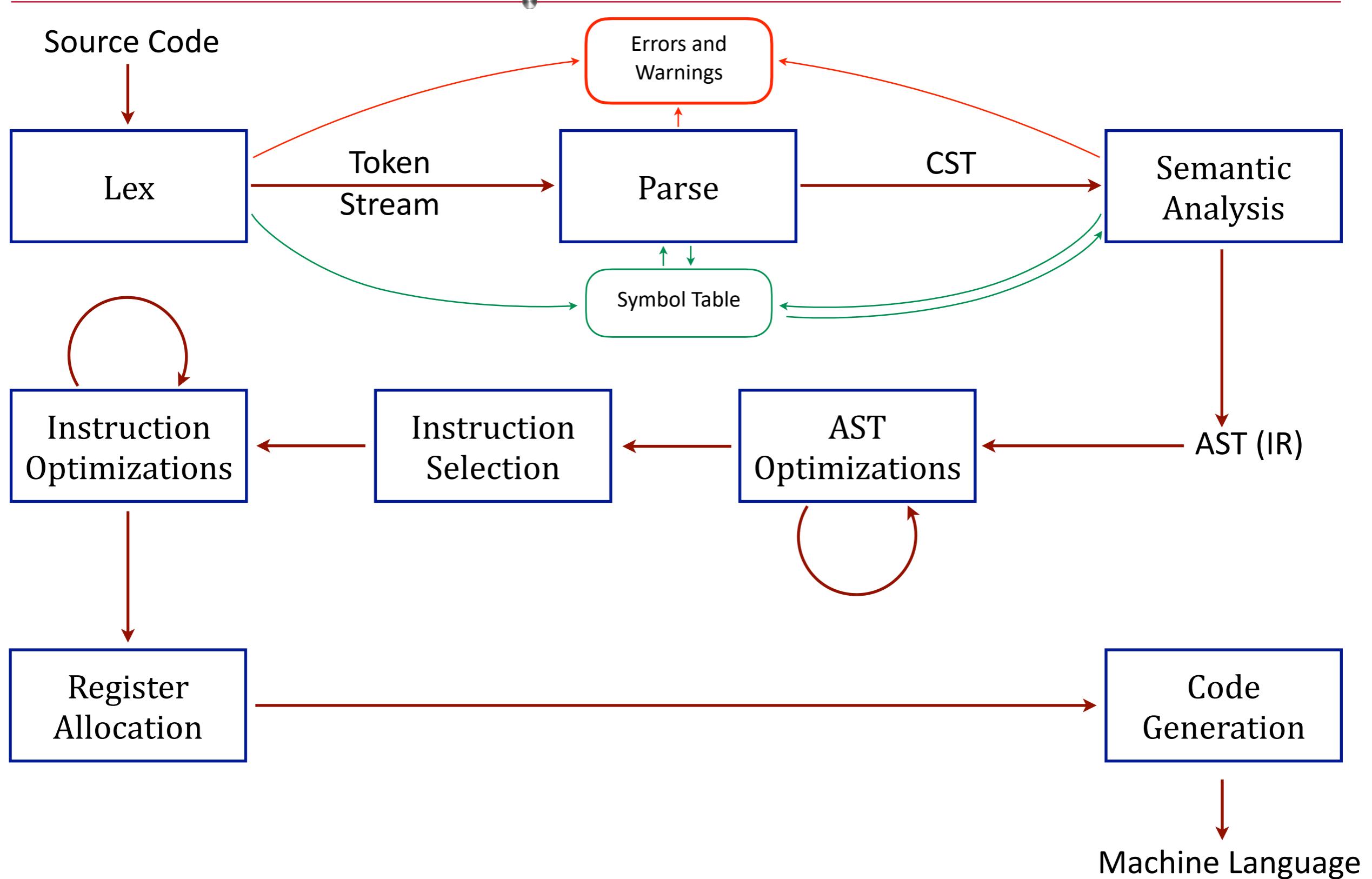
Let  $\Sigma = \{a, b\}$

- $a|b$  means “ $a$  or  $b$ ”.  $\{a, b\}$
- $(a|b)(a|b)$  means “ $a$  or  $b$  followed by  $a$  or  $b$ ”.  $\{aa, ab, ba, bb\}$
- $a^*$  means “zero or more  $a$ s”.  $\{\epsilon, a, aa, aaa, aaaa, \dots\}$
- $a^+$  means “one or more  $a$ s”.  $\{a, aa, aaa, aaaa, \dots\}$

## Other Examples

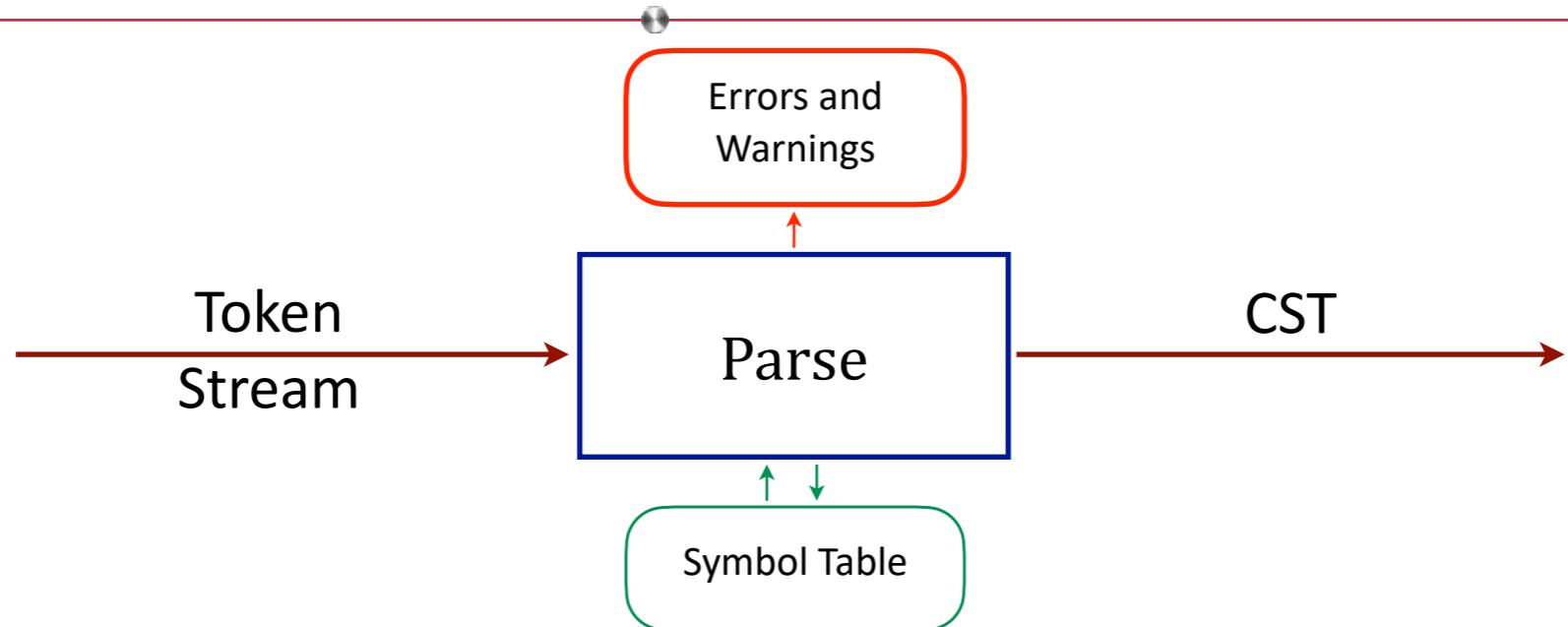
- $(a|b)^*$  means “all strings of  $a$ s and  $b$ s including  $\epsilon$ ”.
- $(a^*|b^*)^*$  also means “all strings of  $a$ s and  $b$ s including  $\epsilon$ ”.
- $a|(a^*b)$  denotes  $\{a, b, ab, aab, aaab, aaaab, \dots\}$

# Compiler Phases



# High Level View: Parse

---



## Parse

- Recognize context-free syntax
- Recognize variables and type
- Report meaningful errors and warnings
- Produce a parse tree (aka: Concrete Syntax Tree)
  
- Focus on syntax

# Predictive Parsing - LL(1) Grammar

---

Grammars that permit predictive parsing by reading the tokens  
Left-to-right, while doing a  
Left-most derivation, using only  
**1** token look-ahead  
are classified as LL(1) grammars.

Here's an example:

1.  $\langle \text{start} \rangle \rightarrow \langle \text{value} \rangle \$$
2.  $\langle \text{value} \rangle \rightarrow \text{num}$
3.  $\qquad\qquad\qquad \rightarrow ( \langle \text{expr} \rangle )$
4.  $\langle \text{expr} \rangle \rightarrow + \langle \text{value} \rangle \langle \text{value} \rangle$
5.  $\qquad\qquad\qquad \rightarrow * \langle \text{values} \rangle$
6.  $\langle \text{values} \rangle \rightarrow \langle \text{value} \rangle \langle \text{values} \rangle$
7.  $\qquad\qquad\qquad \rightarrow \varepsilon$

Remember  $\varepsilon$ ?  
It's the empty string.  
This is an  $\varepsilon$ -production.

# Predictive Parsing - LL(1) Grammar

---

Here's an LL(1) grammar:

1.  $\langle \text{start} \rangle \rightarrow \langle \text{value} \rangle \$$
2.  $\langle \text{value} \rangle \rightarrow \text{num}$
3.  $\rightarrow ( \langle \text{expr} \rangle )$
4.  $\langle \text{expr} \rangle \rightarrow + \langle \text{value} \rangle \langle \text{value} \rangle$
5.  $\rightarrow * \langle \text{values} \rangle$
6.  $\langle \text{values} \rangle \rightarrow \langle \text{value} \rangle \langle \text{values} \rangle$
7.  $\rightarrow \epsilon$

Predictive parsing is easy\* for LL(1) grammars. We can use a technique called **Recursive Descent**.

---

\*Well, it's easy if you love recursion. But we all do, right?

# Recursive Descent Parsing an LL(1) Grammar

---

1.  $\text{<start>} \rightarrow \text{<value>} \$$
2.  $\text{<value>} \rightarrow \text{num}$
3.  $\rightarrow ( \text{<expr>} )$
4.  $\text{<expr>} \rightarrow + \text{<value>} \text{<value>}$
5.  $\rightarrow * \text{<values>}$
6.  $\text{<values>} \rightarrow \text{<value>} \text{<values>}$
7.  $\rightarrow \epsilon$

To implement a Recursive Descent parser we need write a routine for each non-terminal in the grammar and a *match()* routine to consume tokens from the input.

```
parseStart()  
parseValue()  
parseExpr()  
parseValues()
```

```
match()
```

# Recursive Descent Parsing an LL(1) Grammar

---

1. **<start> → <value> \$**
2. **<value> → num**
3. **→ ( <expr> )**
4. **<expr> → + <value> <value>**
5. **→ \* <values>**
6. **<values> → <value> <values>**
7. **→ ε**

```
parseStart() {  
    parseValue()  
    match('$')  
}
```

# Recursive Descent Parsing an LL(1) Grammar

1.  $\text{<start>} \rightarrow \text{<value>} \$$
2.  $\text{<value>} \rightarrow \text{num}$
3.  $\text{<value>} \rightarrow (\text{ <expr> })$
4.  $\text{<expr>} \rightarrow + \text{ <value>} \text{ <value>}$
5.  $\text{<expr>} \rightarrow * \text{ <values>}$
6.  $\text{<values>} \rightarrow \text{<value>} \text{ <values>}$
7.  $\text{<values>} \rightarrow \epsilon$

```
parseStart() {  
    parseValue()  
    match($)  
}
```

```
parseValue() {  
    if token is num  
        match(num)  
    else  
        match( ( ) )  
        parseExpr()  
        match( ) )  
    end if  
}
```

# Recursive Descent Parsing an LL(1) Grammar

1.  $\text{<start>} \rightarrow \text{<value>} \$$
2.  $\text{<value>} \rightarrow \text{num}$
3.  $\qquad\qquad\qquad \rightarrow ( \text{<expr>} )$
4.  $\text{<expr>} \rightarrow + \text{<value>} \text{<value>}$
5.  $\qquad\qquad\qquad \rightarrow * \text{<values>}$
6.  $\text{<values>} \rightarrow \text{<value>} \text{<values>}$
7.  $\qquad\qquad\qquad \rightarrow \epsilon$

```
parseStart() {
    parseValue()
    match($)
}
```

```
parseValue() {
    if token is num
        match(num)
    else
        match( ( )
        parseExpr()
        match( ) )
    end if
}
```

```
parseExpr() {
    if token is +
        match(+)
        parseValue()
        parseValue()
    else
        match( * )
        parseValues()
    end if
}
```

# Recursive Descent Parsing an LL(1) Grammar

1.  $\text{<start>} \rightarrow \text{<value>} \$$
2.  $\text{<value>} \rightarrow \text{num}$
3.  $\text{<value>} \rightarrow (\text{ <expr> })$
4.  $\text{<expr>} \rightarrow + \text{ <value>} \text{ <value>}$
5.  $\text{<expr>} \rightarrow * \text{ <values>}$
6.  $\text{<values>} \rightarrow \text{<value>} \text{ <values>}$
7.  $\text{<values>} \rightarrow \epsilon$

```
parseStart() {
    parseValue()
    match($)
}
```

```
parseValue() {
    if token is num
        match(num)
    else
        match( ( )
        parseExpr()
        match( ) )
    end if
}
```

```
parseExpr() {
    if token is +
        match(+)
        parseValue()
        parseValue()
    else
        match( * )
        parseValues()
    end if
}
```

```
parseValues() {
    if token in {num, ()}
        parseValue()
        parseValues()
    else
        // nothing
        // it's a ε
        // production
    end if
}
```

# Recursive Descent Parsing an LL(1) Grammar

```
1. <start> → <value> $  
2. <value> → num  
3.      → ( <expr> )  
4. <expr> → + <value> <value>  
5.      → * <values>  
6. <values> → <value> <values>  
7.      → ε
```

```
parseStart() {  
    parseValue()  
    match($)  
}
```

```
parseValue() {  
    if token is num  
        match(num)  
    else  
        match( ( ) )  
        parseExpr()  
        match( ) )  
    end if  
}
```

```
parseExpr() {  
    if token is +  
        match(+)  
        parseValue()  
        parseValue()  
    else  
        match( * )  
        parseValues()  
    end if  
}
```

```
parseValues() {  
    if token in {num, (}  
        parseValue()  
        parseValues()  
    else  
        // nothing  
        // it's a ε  
        // production  
    end if  
}
```

The `<values>` production begins with `<value>`. The first tokens in a `<value>` production are **num** and **(**.

# Recursive Descent Parsing an LL(1) Grammar

```
1. <start> → <value> $  
2. <value> → num  
3.           → ( <expr> )  
4. <expr> → + <value> <value>  
5.           → * <values>  
6. <values> → <value> <values>  
7.           → ε
```

```
parseStart() {  
    parseValue()  
    match($)  
}
```

```
parseValue() {  
    if token is num  
        match(num)  
    else  
        match( ( ) )  
        parseExpr()  
        match( ) )  
    end if  
}
```

```
parseExpr() {  
    if token is +  
        match(+)  
        parseValue()  
        parseValue()  
    else  
        match( * )  
        parseValues()  
    end if  
}
```

```
parseValues() {  
    if token in {num, ()}  
        parseValue()  
        parseValues()  
    else  
        // nothing  
        // it's a ε  
        // production  
    end if  
}
```

The  $\epsilon$  production is where the recursion stops. Even though there is no code, this is a great place for comments explaining what's going on.



# Recursive Descent Parsing an LL(1) Grammar

```
1. <start> → <value> $  
2. <value> → num  
3.           → ( <expr> )  
4. <expr> → + <value> <value>  
5.           → * <values>  
6. <values> → <value> <values>  
7.           → ε
```

```
parseStart() {  
    parseValue()  
    match($)  
}  
  
parseValue() {  
    if token is num  
        match(num)  
    else  
        match( ( ) )  
        parseExpr()  
        match( ) )  
    end if  
}  
  
parseExpr() {  
    if token is +  
        match(+)  
        parseValue()  
        parseValue()  
    else  
        match( * )  
        parseValues()  
    end if  
}  
  
parseValues() {  
    if token in {num, () }  
        parseValue()  
        parseValues()  
    else  
        // nothing  
        // it's a ε  
        // production  
    end if  
}
```

```
match( expectedTokens ) {  
    if currentToken in expectedTokens  
        consume currentToken  
        inc tokenPointer  
    else  
        error: expected expectedTokens  
              but found currentToken.  
    end if  
}
```